



FINANCIAL DISCLOSURE STATEMENT
STATE ETHICS COMMISSION
 IC 4-2-6-8
 State Form 40876 (R7 / 12-01)



For the calendar year

2003

Check if this is an
 amendment to your
 current statement. ☐

Name (last) Daniels, Jr.	Name (first) Mitchell	Name (middle) E.
Spouse's Name (last) Daniels	Name (first) Cheri	Name (middle)
Office address (street) 1032 E. Washington Street	Address (city) Indianapolis, IN	Address (ZIP code) 46202
Office telephone number (317) 396-3776		

I am filing this statement as a (check one box) ☒ candidate for office ☐ incumbent officeholder ☐ state employee

Office or agency Office of the Governor, State	Job title Governor
of Indiana	

Each part must be answered. Whenever a particular item does not apply, please write in "none" or "not applicable." See reverse side for complete instructions and definitions. Words in *bold italics* are included in the definitions.

PART 1 - CIL-15 (If you have no information to report in this section, put an "X" in this box) ☒

List the name and address of any person known to have a *business relationship* with the agency of the state officer or employee or the office sought by the candidate, and from whom the state officer, candidate, or the employee, or that individual's spouse or unemancipated children received a *gift* or gifts having a total fair market value in excess of one hundred dollars (\$100).

Name (last)	Address (city)	Address (ZIP code)
Name (last)	Address (city)	Address (ZIP code)
Name (last)	Address (city)	Address (ZIP code)

PART 2 RFAI PROPERTY INTERESTS (If you have no information to report in this section, put an "X" in this box) ☐

List the location of all real property in which you, your spouse, or your unemancipated children have equitable or legal interest either amounting to five thousand dollars (\$5,000) or more or comprising ten percent (10%) of your net worth or the net worth of your spouse or your unemancipated children. You need not include your residence unless it also serves as income property.

Property and its location Greenbriar - 4 Copeland Hill Subdivision, White Sulfur Springs, WV 24986
Property and its location
Property and its location

PART 3 - NON STATE EMPLOYERS (If you have no information to report in this section, put an "X" in this box) ☒

List the name of your employer(s) and the employer(s) of your spouse and the nature of each employer's business.

Your employer	Nature of business
Spouse's employer	Nature of business

PART 4 - SOLE PROPRIETORSHIP OR PROFESSIONAL PRACTICE (If you have no information to report in this section, put an "X" in this box) ☒

List any sole proprietorship owned or professional practice operated by you or your spouse and the nature of the business.

Name of your business Mitchell E. Daniels, Jr.	Nature of business Speaking, consulting
Name of spouse's business	Nature of spouse's business
Do any clients for these businesses listed above have a business relationship with your agency (or in the case of a candidate, with the office sought)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
List the name of any client or customer from whom you or your spouse received more than thirty-three percent (33%) of your (or your spouse's) non-state income in a year. NA	

PART 5 - PARTNERSHIPS (If you have no information to report in this section, put an "X" in this box) ☐

List any partnership in which you or your spouse is a member and the nature of the partnership business.

Name of partnership Daniels Associates, LLC	Nature of partnership Investment
Name of spouse's partnership Daniels Associates, LLC	Nature of spouse's partnership Investment

PART 6 - OFFICER OR DIRECTOR OF CORPORATION (If you have no information to report in this section, put an "X" in this box) ☒

List the name of any corporation in which you or your spouse is a officer or director and the nature of the corporation's business. Churches need not be listed.

Name of corporation	Nature of business
Name of spouse's corporation	Nature of spouse's business

PART 7 - STOCKHOLDER OF CORPORATION (If you have no information to report in this section, put an "X" in this box) ☐

List the name of any corporation in which you, your spouse, or your unemancipated children own stock or stock options having a fair market value in excess of ten thousand dollars (\$10,000). A time or demand deposit in a financial institution or insurance policy need not be listed.

Name of corporation	you	spouse	children
See Attached - Selected and monitored by investment manager	X		
See Attached		X	

PART 8 - MOST RECENT EMPLOYER (If you have no information to report in this section, put an "X" in this box) ☐

List the name and address of your most recent former employer.

Name of your most recent former employer Executive Office of the President	Address (street, city, ZIP code) White House, Washington, D.C.
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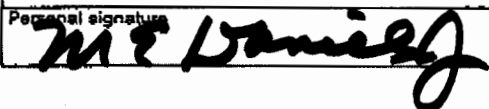
AFFIRMATION

I swear or affirm, under the penalty of perjury, that the facts as presented on this Financial Disclosure Statement are true, complete, and correct to the best of my knowledge and belief.

I understand that I may file an amended statement upon discovery of additional information required to be reported.

I acknowledge awareness of Indiana Code 4-2-6-8(d) under which a failure to file in a timely manner or filing a deficient statement is subject to a civil penalty at the rate of not more than ten dollars (\$10) for each day the statement remains delinquent or deficient. The maximum penalty under this subsection is one thousand dollars (\$1,000). I also acknowledge awareness of Indiana Code 4-2-6-8(e) under which a person who intentionally or knowingly files a false statement commits a class A infraction.

Personal signature



Date signed



Mail or deliver to the following address:

Indiana State Ethics Commission
402 West Washington Street, Room W189
Indianapolis IN 46204-2026
Telephone: (317) 232-3850

Pitney Bowes	Mitch
Raytheon	Mitch
RIO Tinto	Mitch
Sprint	Mitch
Travelers Property & Casualty	Mitch
Verizon Communications	Mitch
Wells Fargo & Co.	Mitch
Ingersoll Rand	Mitch
Transocean SEDCO FOREX	Mitch

Managed Account ~ Sands Capital

Allergan	Mitch
Amgen	Mitch
Apollo Group	Mitch
Bed Bath Beyond	Mitch
Capital One Financial	Mitch
Cisco Systems	Mitch
Dell	Mitch
Ebay	Mitch
First Data	Mitch
Genentech	Mitch
Harley Davidson	Mitch
Johnson & Johnson	Mitch
Kohl's	Mitch
Krispy Kreme	Mitch
Lowes	Mitch
Medtronic	Mitch
Microsoft	Mitch
Moody's	Mitch
Qualcomm	Mitch
Red Hat	Mitch
Semiconductor	Mitch
Starbucks	Mitch
Stryker	Mitch
Tiffany & Co.	Mitch
Walmart	Mitch
Walgreen	Mitch
Weight Watchers	Mitch

Mutual Funds

ABN AMRO/Veredus Aggressive Growth	Mitch
Artisan International Fund	Mitch
Artisan Small Cap Value	Mitch
Columbia Small Cap	Mitch
Excelsior Value	Mitch
Harbor Capital Appreciation	Mitch
Harbor International Fund	Mitch
Ishares S&P 500 Index	Mitch
Morgan Stanley Small Cap	Mitch
National International Value	Mitch
One Group Diversified Equity Fund	Cheri
One Group Diversified International Fund	Cheri
One Group Diversified Mid Cap Fund	Cheri
One Group International Equity Fund	Cheri
One Group Large Cap Growth Fund	Cheri
One Group Large Cap Value Fund	Cheri
One Group Mid Cap Growth Fund	Cheri
One Group Mid Cap Value Fund	Cheri
One Group Small Cap Growth Fund	Cheri
One Group Small Cap Value Fund	Cheri

Fixed IncomeManaged Account ~

Stein Roe Investment	Mitch
One Group Intermediate Tax-Free Bond	Cheri
One Group Municipal Income	Cheri
One Group Tax-Free Bond	Cheri

Retirement Plans

Lilly 401(k)	Mitch
Lilly Excess Plan	Mitch
TCO IRA Rollover	Mitch
Federal Retirement Fund	Mitch
Thrift Savings Plan	Mitch
SEP Mutual Service Corp.	Mitch
Bank One IRA Rollover	Cheri

Alternative Investments

Aurora LP
Pine Grove
Saville Row Ontario

Mitch
Mitch
Mitch

Daniels Associates, LLCCash Equivalents

TCO Tax-Exempt MM

Mutual Funds

AMCENT: 20th International Equity
Artisan Small Cap Value
Columbia Small Cap
Excelsior Value
Harbor Capital Appreciation
Harbor International
Ishares S&P 500 Index
Morgan Stanley Small Cap
Nations International Value

Securities owned and sold in 2003:**Mitch Daniels -- Personal**

American Century 20th Century International Growth Fund
Armada Small Capital Value Fund
Loomis Sayles Small Cap Growth Fund
Mercury HW International Fund
RS Diversified Fund
Schwab S&P 500 Fund
Various US Treasury Notes and Bills

Daniels Associates LLC

American Century 20th Century International Growth Fund
Armada Small Cap Value Fund
Brazos Small Cap Growth Fund
Schwab S&P 500 Fund
I-Shares S&P 500 (IVV)